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Docket: 0756-1603

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT application of )  
SHUNPEI YAMAZAKI et al )  
Serial No. 08/841,644 ) Group Art Unit: 2813  
Filed: 04/30/1997 ) Examiner: L. Schillinger  
For: SEMICONDUCTOR DEVICE AND )  
METHOD FOR FORMING THE SAME )

2813  
#  
DUPLICATE  
page # 22

TECHNOLOGY CENTER 2800

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
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Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing references known to Applicant and requests that these references be made of record in the above identified application. Copies of the references listed are submitted herewith in accordance with 37 C.F.R. 1.98(a). The requisite \$180 fee is attached hereto.

JP 55-18056 was cited in a counterpart Japanese Patent Application by the Japanese Patent Office on April 1, 2003. The remaining references were cited in a non-Final Office Action dated March 27, 2003 in copending family Application Serial No. 09/978,720.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280. A duplicate copy of this sheet is attached.

Respectfully submitted,

  
Eric J. Robinson  
Reg. No. 38,285  
Robinson Intellectual Property Law Office  
PMB 955, 21010 Southbank Street  
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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

**Complete if Known**

<b>Application Number</b>	08/841,644
<b>Filing Date</b>	April 30, 1997
<b>First Named Inventor</b>	Shunpei YAMAZAKI, et al.
<b>Group Art Unit</b>	2813
<b>Examiner Name</b>	C. Bowers Jr.
<b>Attorney Docket Number</b>	756-1603

Sheet

**1**

of

**1**

Attorney Docket Number

756-1603

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS								
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
		JP	01-276671			11/07/1989		Abst.
		JP	55-018056			02/07/1980		Abst.

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials <sup>4</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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